

017E

**PATENT APPLICATION****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of

Klaus SCHWUNG et al.

Application No.: 10/509,875

Attn: Assignment Branch

Filed: June 3, 2005

Docket No.: 121059

For: COMPOSITE MATERIAL, METHOD OF PRODUCTION AND USES THEREOF

**CHANGE OF NAME STATUS REQUEST**

Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A Change of Name of the above-captioned patent application was filed on February 1, 2010. Copies of the Commercial Register with translation, Recordation Transmittal and facsimile receipt are attached hereto.

A Request for Correction of PALM was filed on March 8, 2010 to correct the Title of the above-captioned patent application. Copies of the Request for Correction of PALM Records, Corrected Filing Receipt with errors marked, Supplemental Application Data Sheet and date-stamped Receipt (dated March 8, 2010) are attached hereto.

The Corrected Notice of Recordation, indicating the Change of Name information and corrected Title has not yet been returned. It is respectfully requested that the Notice of Recordation be immediately returned to the attorneys of record at the address set forth below.

If there are any questions regarding this matter, please contact the undersigned at the telephone number set forth below.

Respectfully submitted,



William P. Berridge  
Registration No. 30,024

Aya Suzuki  
Registration No. 64,621

WPB:AQS/sxl

Date: October 28, 2010

OLIFF & BERRIDGE, PLC  
P.O. Box 320850  
Alexandria, Virginia 22320-4850  
Telephone: (703) 836-6400

## Commercial Register B of Wuppertal Local Court

Retrieved on 07 September, 2005 15:01

Company Code:  
Page 1 of 1

HRB 8055

Reg. No.	a) Company b) Domicile, branch, sub-branches c) Object of the enterprises	Authorized or nominal capital	a) General rules of representation b) Board, personally liable partners, managers, authorized representatives, persons with special powers of representation	Power of attorney	a) Legal status, begin, Memorandum of Association or Articles of Partnership b) Other legal relationships	a) Date of registration b) Notes
1				5	6	7
1	a) Tenax Fibers GmbH b) Wuppertal c) The object of the enterprise is to develop, manufacture and sell carbon fibers and related products and conduct all business related to this endeavor.	50,000.00 DEM	a) If only one Managing Director is appointed, he has sole power to act on behalf of the company. If several Managing Directors are appointed, the company will be represented by two Managing Directors or by one Managing Director and one executive with power of attorney. b) Managing Director: Gibben, Hans-Dieter, Heimbach, *23 January, 1945 Managing Director: Quack, Herbert, Düsseldorf, *22 Nov. 1943 Managing Director: Scholten, Eckhard, Sprockhövel, * 20 February, 1958		a) Limited liability company. Memorandum of Association established 30 December, 1992, last amended on 01 March, 2002 b) First registered in Wuppertal on 23 March, 1993 This page has been entered into the computer system and replaces the original page in the Register. Approved on 26 January, 2003. On transfer to the electronic Register, column 4a) General rules of representation - was added.	a) 28 January, 2003 Stütz b) First registered in Wuppertal on 23 March, 1993 This page has been entered into the computer system and replaces the original page in the Register. Approved on 26 January, 2003. On transfer to the electronic Register, column 4a) General rules of representation - was added.
2				Overall power of attorney together with one Managing Director or an executive with power of attorney: Horsel, Fred, Mönchengladbach, *17 May, 1953		a) 08 February, 2003 Schweda
3			b) No longer Managing Director: Quack, Herbert, Düsseldorf, *22 Nov. 1943			a) 04 January, 2005 Schweda
4	a) Toho Tenax Europe GmbH			Overall power of attorney together with one Managing Director or an executive with power of attorney: Krenfelkel, Mathias, Kempen, *03 July, 1960	a) The Shareholders' Meeting of 02 March, 2005 amended the company name and revised Article 1 No. 1 (Company) of the Articles of Partnership b) Shareholders' Resolution page 1. of special file Articles of Partnership page 89 ff. of special file	a) 31 March, 2005 Kühnau b) Shareholders' Resolution page 1. of special file Articles of Partnership page 89 ff. of special file

I hereby certify the agreement of this photocopy with the contents of the Commercial Register of the Wuppertal Local Court HRB 8055 - Toho Tenax Europe GmbH, with headquarters in Wuppertal.

Wuppertal, 7 September, 2005

I herewith declare that this translation is a true and correct translation of the German documents.

Wuppertal, 13 September, 2005

(Susan Ring)

Sworn translator for the Intermediate Court of Appeals of Düsseldorf

Susan Ring  
B.A. International  
Diplom- Dolmetscherin  
Diplom-Übersetzerin  
Charlottenstraße 68  
D-42105 Wuppertal



<b>Mail Stop:</b> Assignment Recordation Services Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		<b>RECORDATION FORM COVER SHEET</b> <b>PATENTS ONLY</b>		<b>U.S. DEPARTMENT OF COMMERCE</b> Patent and Trademark Office	
Attorney Docket No. <u>121059</u>					
Please record the attached document.  Total number of pages including cover sheet, attachments, and document: <u>3</u>					
1. A. Name of conveying party(ies): Tenax Fibers GmbH  B. Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. A. Name and address of receiving party(ies):  TOHO TENAX EUROPE GMBH KASINOSTRASSE 19-21 D-42103 WUPPERTAL, GERMANY  B. Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. A. Nature of conveyance:  <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Other _____  B. Execution Date: <u>March 2, 2005</u>					
4. A. Patent Application No.(s) <u>10/509,875</u> B. Patent No.(s)  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  C. Title of Application: <u>COMPOSITE MATERIAL, METHOD OF PRODUCTION AND USES THEREOF</u>					
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: <u>William P. Berridge</u>  Address: <u>OLIFF &amp; BERRIDGE, PLC</u> <u>P.O. Box 320850</u> <u>Alexandria, VA 22320-4850</u> <u>Phone Number: 703-836-6400</u> <u>Fax Number: 703-836-2787</u>			6. Total number of applications and patents involved: <u>1</u>  7. Please charge Deposit Account No. 15-0461 the total fee (37 CFR 3.41) in the amount of <u>\$40.00</u> .  8. Credit any overpayment or charge any underpayment to deposit account number 15-0461.		
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 10px;"> <div style="width: 45%;">            William P. Berridge            Benjamin S. Prebyl         </div> <div style="width: 45%; text-align: right;">           Date: <u>February 1, 2010</u>             Registration No. 30,024            Registration No. 60,256         </div> </div>					

\*\*\*\*\* -COMM. JC L- \*\*\*\*\* DATE FEB-01-2010 TIME 17:00 \*\*\*\*\*

MODE = MEMORY TRANSMISSION

START-FEB-01 16:59

END=FEB-01 17:00

FILE NO.=332

STN NO.	COMM.	ONE-TOUCH/ RSRV NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK		5712738140	003/003	00:00:38

-CLIFF &amp; BERRIDGE, PLC -

\*\*\*\*\* - 703 836 2787- \*\*\*\*\*

<b>Mail Stop:</b> Assignment Recordation Service Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		<b>RECORDATION FORM COVER SHEET</b> PATENTS ONLY		<b>U.S. DEPARTMENT OF COMMERCE</b> Patent and Trademark Office	
Attorney Docket No. <u>121059</u>					
Please record the attached document. Total number of pages including cover sheet, attachments, and documents: <u>3</u>					
1. A. Name of conveying party(ies): <u>Tenax Fibers GmbH</u> B. Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. A. Name and address of receiving party(ies): <u>TORO TENAX EUROPE GMBH</u> <u>KASINOSTRASSE 19-21</u> <u>D-42103 WUPPERTAL, GERMANY</u> B. Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. A. Nature of conveyance: <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ B. Execution Date: <u>March 2, 2005</u>					
4. A. Patent Application No.(s) <u>10/509,875</u> B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
C. Title of Application: <u>COMPOSITE MATERIAL METHOD OF PRODUCTION AND USES THEREOF</u>					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>William F. Berridge</u> Address: <u>OLIFF &amp; BERRIDGE, PLC</u> <u>P.O. Box 210850</u> <u>Alexandria, VA 22320-1850</u> <u>Phone Number: 703-836-6400</u> <u>Fax Number: 703-836-3787</u>			6. Total number of applications and patents involved: <u>1</u> 7. Please charge Deposit Account No. 15-0461 the total fee (37 CFR 3.41) in the amount of \$45.00. 8. Credit any overpayment or charge any underpayment to deposit account number 15-0461.		
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <u>William F. Berridge</u> Registration No. 30,024 <u>Benjamin S. Prebyl</u> Registration No. 60,150 Date: <u>February 1, 2010</u>					

**PATENT APPLICATION****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of

Attn: OIPE

Klaus SCHWUNG et al.

Group Art Unit: 1794

Application No.: 10/509,875

Docket No.: 121059

Filed: June 3, 2005

For: COMPOSITE MATERIAL, METHOD OF PRODUCTION AND USES THEREOF

**REQUEST FOR CORRECTION OF PALM RECORDS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a photocopy of the corrected filing receipt on which errors have been corrected in red. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records. A Supplemental Application Data Sheet is attached.

Respectfully submitted,

William P. Berridge  
Registration No. 30,024

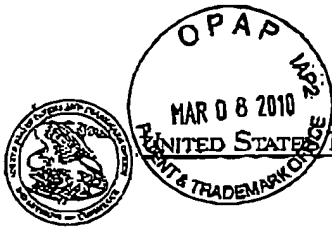
Benjamin S. Prebyl  
Registration No. 60,256

WPB:BSP/sxl

Date: March 8, 2010

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 320850**  
**Alexandria, Virginia 22320-4850**  
**Telephone: (703) 836-6400**

<p><b>DEPOSIT ACCOUNT USE AUTHORIZATION</b> Please grant any extension necessary for entry of this filing; Charge any fee due to our Deposit Account No. 15-0461</p>
--



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	TOT CLAIMS	IND CLAIMS
10/509,875	06/03/2005	1794	1080	121059	1817	3

CONFIRMATION NO. 4964

CORRECTED FILING RECEIPT

25944

OLIFF &amp; BERRIDGE, PLC

P.O. BOX 320850

ALEXANDRIA, VA 22320-4850



Date Mailed: 07/28/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections.

## Applicant(s)

Klaus Peter Schwung, Ratingen, GERMANY;

Bernd Wohlmann, Dusseldorf, GERMANY;

## Assignment For Published Patent Application

TENAX FIBERS GMBH, Wuppertal, GERMANY TOHO TENAX EUROPE GMBH

Power of Attorney: The patent practitioners associated with Customer Number 25944

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/03234 03/28/2003

## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 02007534.7 04/03/2002

If Required, Foreign Filing License Granted: 07/25/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 10/509,875

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Composite material, method ~~for the production~~ **OF PRODUCTION,** and uses thereof

Preliminary Class

428

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER****Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

---

**OLIFF & BERRIDGE, PLC**

---

ATTORNEYS AT LAW

**Application Data Sheet****Application Information**

Application Type:: National Phase  
Subject Matter:: Utility  
CD-ROM or CD-R: None  
Title:: COMPOSITE MATERIAL, METHOD OF  
PRODUCTION, AND USES THEREOF  
Attorney Docket Number:: 121059  
Small Entity:: No

**Applicant Information**

Applicant Authority type:: Inventor  
Primary Citizenship Country:: Germany  
Status:: Full Capacity  
Given Name:: Klaus  
Middle Name:: Peter  
Family Name:: SCHWUNG  
City of Residence:: Ratingen  
Country of Residence:: Germany

---

Applicant Authority type:: Inventor  
Primary Citizenship Country:: Germany  
Status:: Full Capacity  
Given Name:: Bernd  
Family Name:: WOHLMANN  
City of Residence:: Dusseldorf  
Country of Residence:: Germany

---

**Correspondence Information**

Correspondence Customer Number:: 25944

<b>Domestic Priority Information</b>			
Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application is a	National Stage of	PCT/EP03/03234	03/28/2003
<b>Foreign Priority Information</b>			
Country::	Application Number::	Filing Date::	Priority Claimed::
Europe	02007534.7	04/03/2002	Yes
<b>Assignee Information</b>			
Assignee Name::		TENAX FIBERS GMBH TOHO TENAX EUROPE GMBH	
Street of mailing address::		Kasinostrasse 19-21,	
City of mailing address::		Wuppertal,	
Country of mailing address::		Germany	
Postal or Zip Code of mailing address::		D-42103	

Docket Slip ID: P-301500

**PTO RECEIPT FOR FILING OF PAPERS**

Delivery ▶ Mail Room

The following papers have been filed:

Request for Correction of PALM; copy of corrected Filing Receipt with errors marked in red; and Supplemental ADS

Name of Applicant:	Klaus SCHWUNG; Bernd WOHLMANN
Serial No.:	10/509,875
Attorney File No.:	121059
Title:	Composite Material, Method of Production and Uses Thereof
Sender's Initials:	WPB:BSP/sxl
Assignee:	TENAX FIBERS GmbH

**PATENT OFFICE DATE STAMP**

86

**COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER**